KD_IM-742592_1.DOC NOV 1 4 2006 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Before the Examiner: r re patent application of: Thai Ba Trieu John M. Mulloy et al. **Group Art Unit:** Patent Application Serial No.: 10/840,057 3748 Filed May 6, 2004 Attorney Docket No.: METHOD OF CONTROLLING THE CECO-5 EXHAUST GAS TEMPERATURE FOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

GEOMETRY TURBINE

AFTER-TREATMENT SYSTEMS ON A DIESEL ENGINE USING A VARIABLE

Dear Sir:

Pursuant to the duty of disclosure in 37 C.F.R. §1.56, Applicant wishes to bring to the attention of the Examiner the references listed on the enclosed PTO 1449 form. No copies of the U.S. references listed on the PTO 1449 form are enclosed.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

John H. Allie of Registered Representation

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Date of Signature

The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

This Statement is being submitted after the mailing of an Office Action on the merits but prior to the mailing of a final Action or a Notice of Allowance. Therefore, a fee in the amount of \$180.00 is enclosed for consideration of this Supplemental Information Disclosure Statement. Should any additional fees be required, please charge such fee to Deposit Account No. 12-2424, but not to include any payment of issue fees.

Respectfully Submitted:

Bv:/

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known			
Application Number	10/840,057		
Filing Date	May 6, 2004		
First Named Inventor	John M. Mulloy		
Art Unit	3748		
Examiner Name	Thai Ba Trieu		
Attorney Docket Number	CECO-5		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		^{US-} US 2004/0159098	8/19/2004	Gui et al.	
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FOREIGN PATENT DOCUMENTS						
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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